



# Design Theory, Principles and Guidelines

**Human Computer Interaction** 

Luigi De Russis Academic Year 2023/2024





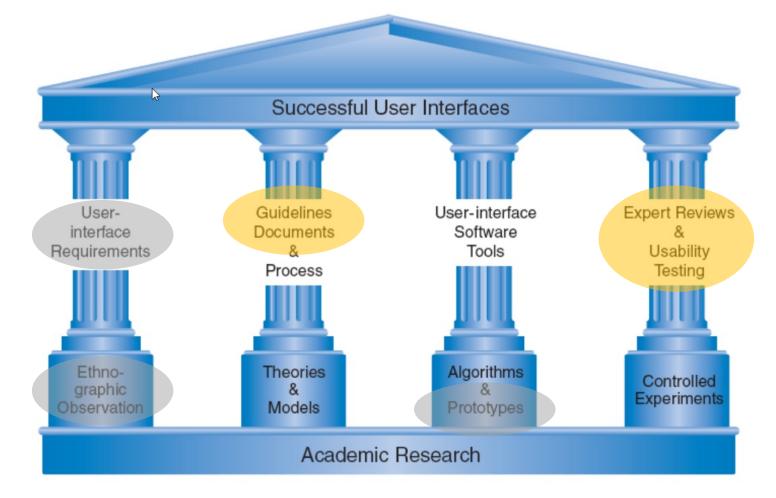
#### Hall of Fame or Shame?

#### Did we make you smile?

Based on your shopping experience, how likely are you to recommend us on a scale of 0 - 10?



#### The Four Pillars of Design



Ben Shneiderman & Catherine Plaisant, Designing the User Interface: Strategies for Effective Human-Computer Interaction

#### Goals

Generating design solutions

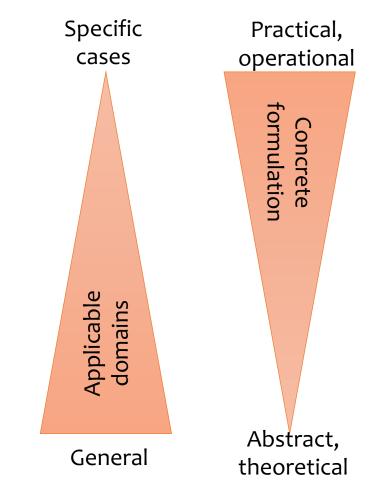
- Guidelines
- Principles
- Theories

#### Evaluating generated designs

- Expert reviews and heuristics
- Usability testing
- Controlled experiments

### **Generating Design Solutions**

- Guidelines: Low-level focused advice about good practices and cautions against dangers
- Principles: Mid-level strategies or rules to analyze and compare design alternatives
- Theories: High-level widely applicable frameworks to draw on during design and evaluation, as well as to support communication and teaching



# **Design Theories**

Theoretical frameworks enabling foundational research

The "Why"

# **Design Theories**

#### **Types of theories**

- Descriptive
  - UI elements, terminology, semantics
- Explanatory
  - Sequences of events with causal relationships
- Prescriptive
  - Guidelines for designers to make decisions
- Predictive
  - Comparison of design alternatives based on performance figures

#### Human capacity

- Motor task
  - Skill in pointing, clicking, ...
     movements
- Perceptual
  - Sensory inputs
- Cognitive
  - Problem-solving, short-/long-term memory

## Foley and van Dam Four-level Approach (Descriptive)

Conceptual level

User's mental model of the interactive system

- Semantic level
  - Describes the meanings conveyed by the user's command input and by the computer's output display

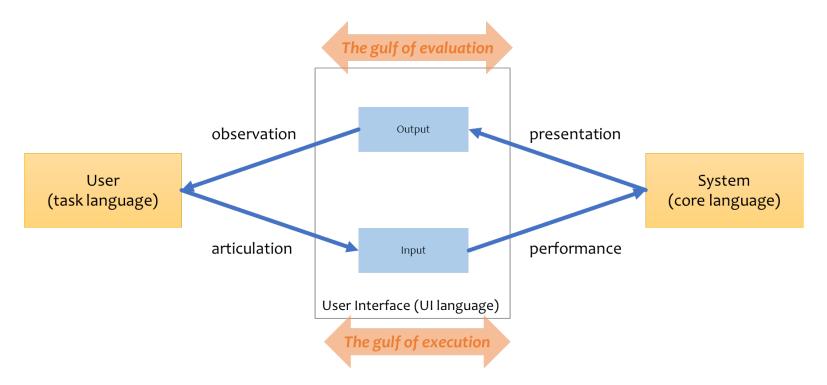
#### Syntactic level

 Defines how the units (words) that convey semantics are assembled into a complete sentence that instructs the computer to perform a certain task

#### Lexical level

 Deals with device dependencies and with the precise mechanisms by which a user specifies the syntax

## Norman's Action Models (Explanatory)



- 1. **Goal** (form the goal)
- 2. **Plan** (the action)
- 4. **Perform** (the action sequence)
- 5. **Perceive** (the state of the world)
- 6. **Interpret** (the perception)
- 3. **Specify** (an action sequence) 7. **Compare** (the outcome with the goal)

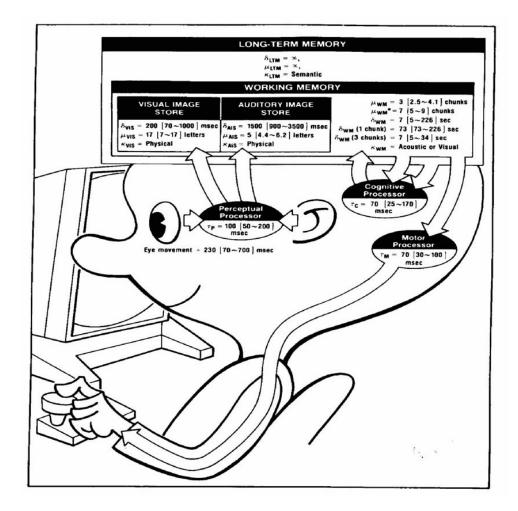
# **Consistency Theories** (Prescriptive)

- Consistency of nouns (objects) and verbs (actions)
  - Reduces learning time and errors
- Consistency of
  - $\circ$  Color
  - Layout
  - o **Icons**
  - $\circ~$  Fonts and Font sizes
  - o Button sizes
  - 0 ...
- Inconsistencies might be used (sparingly!) for drawing attention

Consistent delete/insert character delete/insert word delete/insert line delete/insert paragraph

### **Human Processor Model**

- Cognitive modelling method used to calculate how long it takes to perform a given task
  - prediction the system's performance (time to complete a task)
  - analogy between processing and storage areas of a computer with the perceptual, motor, cognitive and memory areas (working and long-term) of a person
- The calculations can be also used to determine the probability of a user remembering an item encountered during the task
- Underlies other usability techniques (GOMS, KLM, ...)



Card, Stuart K.; Moran, Thomas P; and Newell, Allen. Human-computer interaction – Psychological aspects, Erlbaum Associates, 1983, ISBN: 9780898592436

#### Memory

- Working memory (short-term)
  - o small capacity (7 ± "chunks")
    - +393475812632 vs. (+39) 347 581 2632
    - FGIHHJLMQ vs. FGI HHJ LMQ
  - rapid access (~70ms) and decay (~200ms)
    - pass to long-term memory after a few seconds of continued storage
- Long-term memory
  - huge (unlimited, almost)
  - slower access time (~100ms) with litte decay

#### Fitts's Law

- Demonstration: <u>https://fww.few.vu.nl/hci/interactive/fitts/</u>
- "The amount of time required for a person to move a pointer to a target area is a function of the distance to the target divided by the size of the target"
  - the longer the distance and the smaller the target's size, the longer it takes
  - created by psychologist Paul Fitts in 1954 examining the human motor system
- Widely used in HCI:
  - influenced the convention of making interactive buttons large (especially on finger-operated mobile devices
  - the distance between a user's task/attention area and the task-related button should be kept as short as possible

# **Design Principles**

The important aspects that we need to consider when creating a design. The "What"

# **Design Principles**

- More practical than Theories
- More fundamental, widely applicable, and enduring than Guidelines
- Fundamental principles (→ from Needfinding)
  - Determine user's skill levels
  - Identify the tasks
- 5 primary interaction styles
- 8 golden rules of interface design
- Prevent errors
- Automation and human control

#### **Interaction Styles**

- Direct manipulation
- Menu selection
- Form fill-in
- Command language
- Natural language

#### Advantages

Direct manipulation Visually presents task concepts Allows easy learning

Allows easy retention Allows errors to be avoided Encourages exploration Affords high subjective satisfaction

Menu selection Shortens learning Reduces keystrokes Structures decision making Permits use of dialog-management tools Allows easy support of error handling

Form fill-in Simplifies data entry Requires modest training Gives convenient assistance Permits use of form-management tools

Command language Flexible Appeals to "power" users

Supports user initiative Allows convenient creation of user-defined macros

Natural language Relieves burden of learning syntax

#### Disadvantages

May be hard to program May require graphics display and pointing devices

Presents danger of many menus May slow frequent users Consumes screen space Requires rapid display rate

Consumes screen space

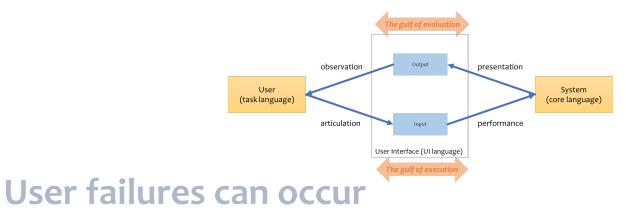
Poor error handling Requires substantial training and memorization

Requires clarification dialog May not show context May require more keystrokes Unpredictable

#### Norman's Principles from Action Models

#### **Principles of good design**

- State and the action alternatives should be visible
- Should be a good conceptual model with a consistent system image
- Interface should include good mappings that reveal the relationships between stages
- User should receive continuous feedback



- Users can form an inadequate goal
- Might not find the correct interface object because of an incomprehensible label or icon
- May not know how to specify or execute a desired action
- May receive inappropriate or misleading feedback

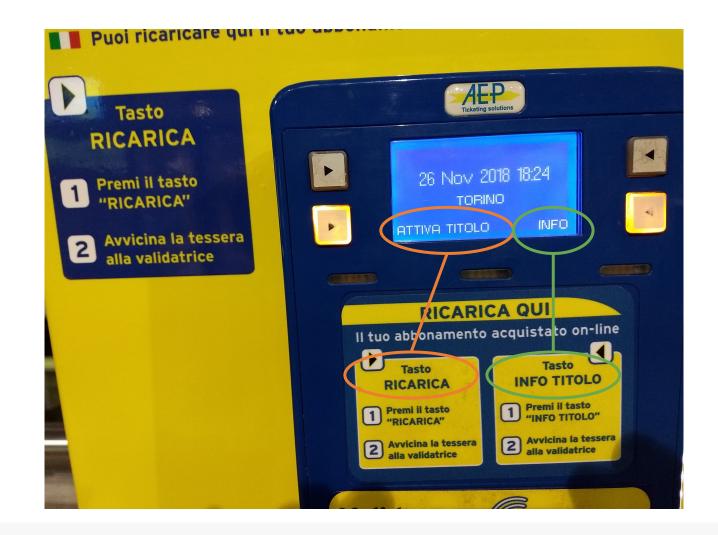
- Strive for consistency
- Cater to universal usability
- Offer informative feedback
- Design dialogs to yield closure
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- Permit easy reversal of actions
- Keep users in control
- Reduce short-term memory load

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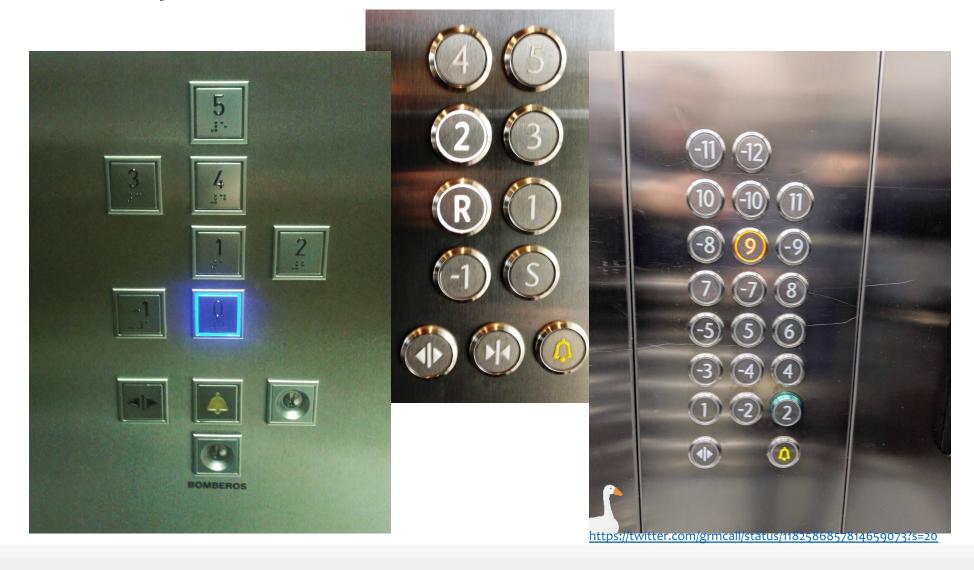
- Similar situations should lead to similar sequences of actions
- Same terminology in prompts, menus, help
- Color, layout, capitalization, fonts,
- Exceptions should be comprehensive and limited
   E.g., delete, password echo

. . .

#### **Internal Consistency**



#### **Consistency with mental models**



### **Consistency of Interpretation**



- Which one is the selected one?
  - Color codes are ambiguous
  - No further internal clues
  - No external clues
- Does it represent the current status?
- Does it represent the status that we want to achieve?

## Inconsistency for Drawing Attention

The border color and button text color in the "danger zone" are deliberately different than the rest of the page

#### Merge button

When merging pull requests, you can allow any combination of merge commits, squashing, or rebasing. At least one option must be enabled.

Allow merge commits Add all commits from the head branch to the base branch with a merge commit.

☑ Allow squash merging Combine all commits from the head branch into a single commit in the base branch.

Allow rebase merging Add all commits from the head branch onto the base branch individually.

After pull requests are merged, you can have head branches deleted automatically.

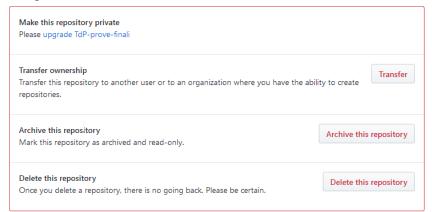
Automatically delete head branches Deleted branches will still be able to be restored.

#### **GitHub** Pages

GitHub Pages is designed to host your personal, organization, or project pages from a GitHub repository.

Source GitHub Pages is currently disabled. Select a source below to enable GitHub Pages for this repository. Learn more. None 
Theme Chooser Select a theme to publish your site with a Jekyll theme using the master branch. Learn more. Choose a theme

#### Danger Zone



- Strive for consistency
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- Users with different needs: let the interface adapt, let content be transformed
- Novices vs. experts. Young vs elderly. Web vs. mobile. Users with disabilities (→Accessibility)
- Responsive design
- International (and cultural) variations

- Strive for consistency
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- For \*every\* human action, there should be an interface feedback
- Frequent and minor actions: light feedback
- Infrequent and major actions: stronger feedback
- Visual presentation of objects helps showing the changes (e.g., dim, highlight, grey out, ...)

#### Example



## Example



Try to install VS Code for all users on a computer (install to Program Files rather than user's folders)



#### We Went a Long Way From...



- Strive for consistency
- Cater to universal usability
- Offer informative feedback
- Design dialogs to yield closure
- Prevent errors
- Permit easy reversal of actions
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- Reduce short-term memory load

- Every sequence of actions should have
  - Beginning
  - Development
  - o End
- Provide clear feedback at end
  - Satisfy users
  - 'Delete' current task from their working memory, prepare for the next

#### **Clear Dialog Sequence**



#### 

#### COME ACQUISTARE L'ACQUA FRIZZANTE CON LA PROPRIA CARTA DI PAGAMENTO



Dal 16 settembre sarà funzionante la nuova modalità di pagamento tramite POS che consentirà, registrando la propria carta bancaria, postale, di debito, di credito o prepagata (dotata di lettura "contact-less"), il pagamento dell'acqua potabile frizzante, trattata e refrigerata prelevabile da tutti i Punti Acqua SMAT.

#### Registrare la propria carta bancaria, postale, di debito, di credito o prepagata

peoclary in desired, un desired per peoclary in Inserisci la carta di pagamento nel POS Le carte accettate sono: Pagobancomat, VISA, Maestro, Mastercard (dotate di lettura "contact-less") Premi "START" (pulsante verde) per registrare la carta Se l'operazione non viene effettuata entro 15 secondi viene



annullata. A registrazione avvenuta sul display comparirà il messaggio "credito 0,00"

#### Caricare o ricaricare con una carta già registrata Inserisci la carta di pagamento nel POS

Premi "START" (pulsante verde): se il credito è inferiore a 1 euro apparirà sul display il messaggio "vuol ricaricare?" A questo punto occorrerà estrarre la carta ed avvicinarla per consentire la *kettura "contact-less"* e trasferire il credito di 5,00 euro sul tuo "*borsellino virtuale*". Al termine dell'operazione di ricarica comparirà il messaggio "*ricarica eseguita correttamente*"

#### Attivare l'erogazione

Inserisci la carta e attendi il riconoscimento Premi "START" (pulsante verde) ed estrai la carta dal POS Per ottenere l'erogazione premi il pulsante presente sul chiosco Per terminare l'erogazione premere il pulsante STOP

Servizio Assistenza Utenti

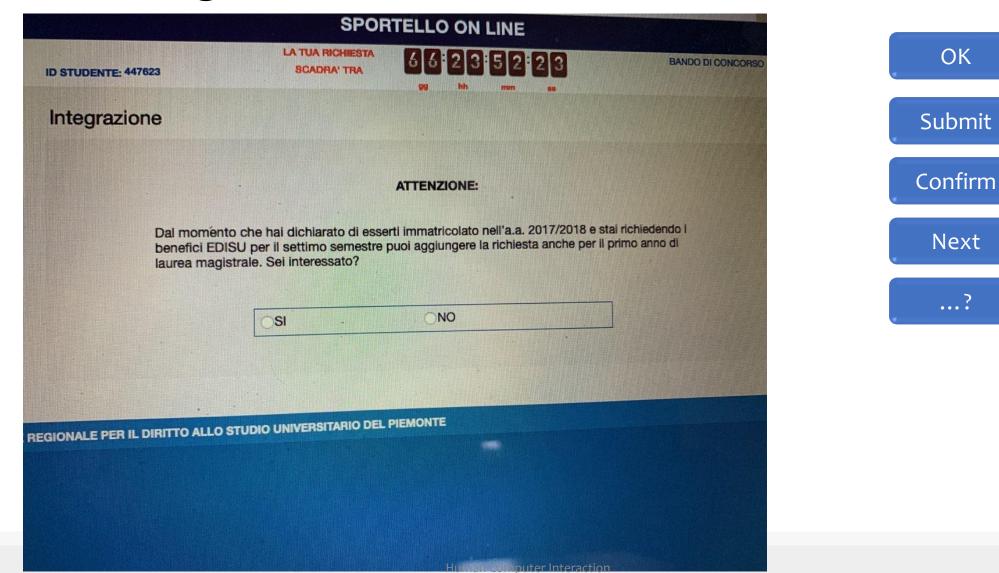


#### Utilizzare il POS conviene dopo 5 ricariche ne riceverai 1 in omaggio

N.B. La nuova modalità di pagamento non sostituisce l'attuale tessera *Smat* ma è un ulteriore strumento a disposizione dell'utenza.



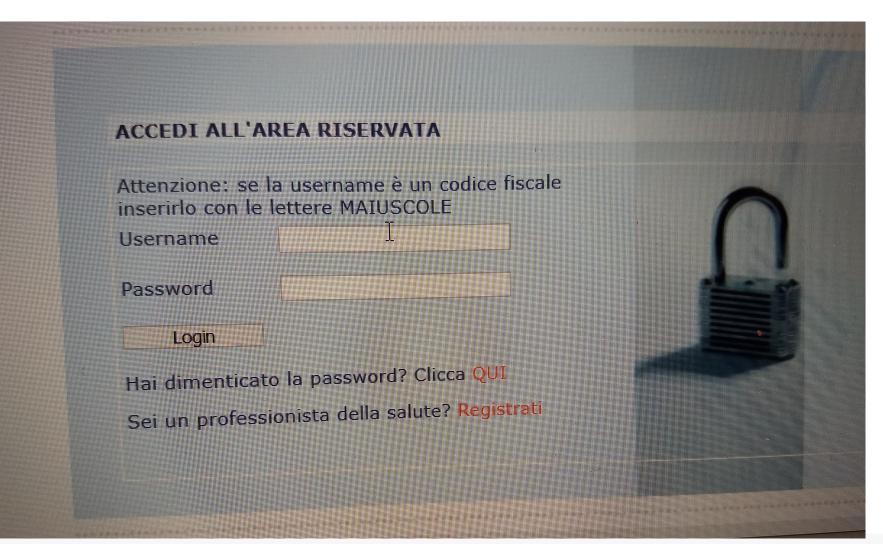
#### **Clear Dialog Sequence**



- Strive for consistency
- Cater to universal usability
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- Avoid the possibility of making errors
- Disable menu items, buttons, links, ...
   that are not applicable
- Prevent entering illegal characters
- Offer simple, constructive and specific instructions for recovery
   Repair only the faulty part
- Errors should not alter application state (or make it easy to restore)

#### **Error Prevention**



- Strive for consistency
- Cater to universal usability
- Offer informative feedback
- Design dialogs to yield closure
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- Actions should be reversible (at the cost of extra development effort)
  - Relieves anxiety
  - Encourages exploration
- Different levels of reversibility
  - A single action
  - A data-entry task
  - A complete group of actions

- Strive for consistency
- Cater to universal usability
- Offer informative feedback
- Design dialogs to yield closure
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- The interface should *always* respond to user actions
- Minimize the tedious and lengthy tasks
- Avoid surprises or changes in familiar behavior
- Provide undo/redo, cancel/confirm

#### Example

\*Come docente, quali problemi hai avuto nello svolgimento degli esami?

Scegliere una o più delle seguenti opzioni

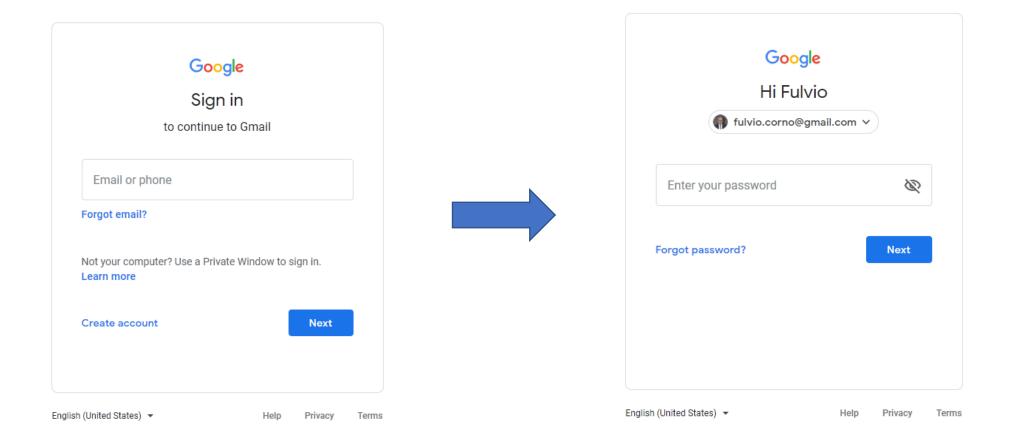
- 🗹 Non ho avuto problemi
- Organizzazione dell'esame (poca chiarezza nella spiegazione delle modalità, sovrapposizione di date, procedure troppo confuse, deposito e consultazione documentazione complesso, ecc.)
- Dispongo di hardware/software inadeguato
- La connessione che uso è lenta/non continua
- Problemi ambientali (troppo rumore, confusione, scarsa possibilità di concentrazione)

#### The 8 Golden Rules of Interface Design

- Strive for consistency
- Cater to universal usability
- Offer informative feedback
- Design dialogs to yield closure
- Prevent errors
- Permit easy reversal of actions
- Keep users in control
- Reduce short-term memory load

- Rule of thumb:
  - People can remember 7±2 chunks of information
- Information on a screen should not be needed (remembered) in the next screen
- No entry of phone numbers (collect from addressbook), show website location, fit long forms in a single page, ...

#### **Discussion – An Exception?**



#### Exceptions... sometimes entering is better than selecting

Cambridge First Certificate in English (B) - score 175	
Cambridge First Certificate in English (B) - score 176	
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Cambridge First Certificate in English (B) - score 179	ist and the second second
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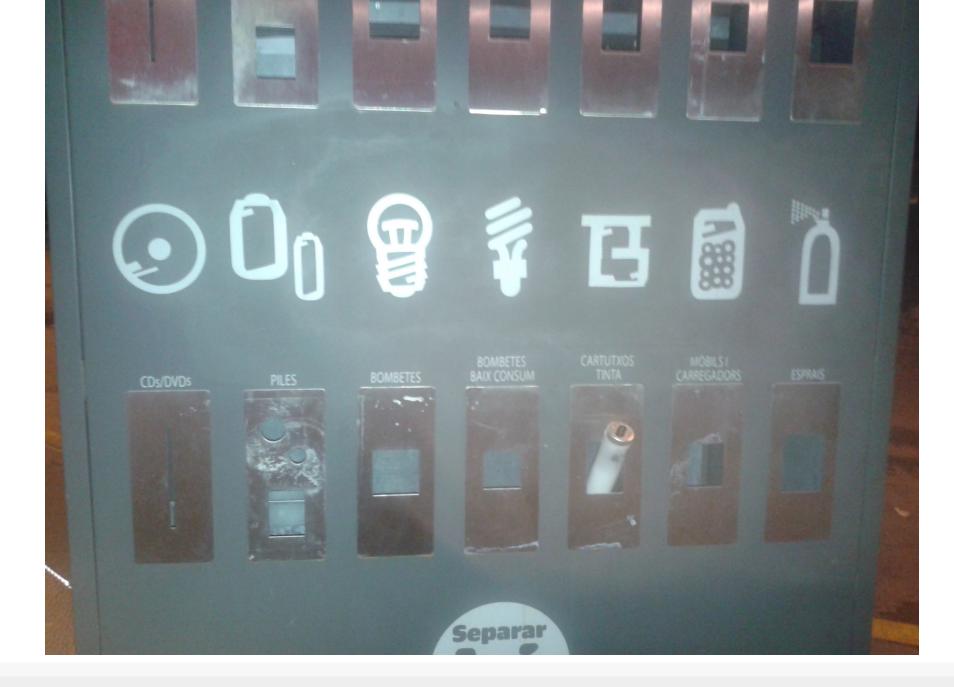
#### **Design Principles by Benyon (I)** (adapted from Norman, Nielsen and others)

- Learnability helping people access, learn and remember the system
  - Visibility ensure that things are visible, so users can see what functions are available and what the system is currently doing
  - Consistency ( $\rightarrow$ above)
  - Familiarity use language and symbols that the intended audience will be familiar with
  - Affordance design things so it is clear what they are for (e.g., buttons should be pushed). Maps the (perceived) properties of the objects with how they can be used

#### Affordance



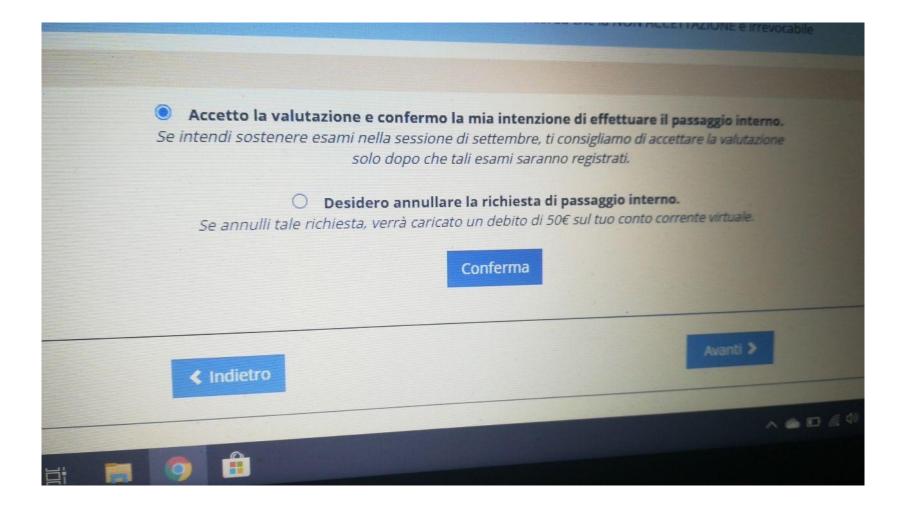
Human Computer Interaction



#### **Design Principles by Benyon (II)** (adapted from Norman, Nielsen and others)

- Effectiveness giving users the sense of being in control, knowing what to do and how to do it
  - Navigation support people in moving around the different sections: maps, directional signs, information signs
  - Control who is in control for the next interaction? Clear and logical mapping between controls and their effect. Relationships with the "side effects" in the real world
  - Feedback ( $\rightarrow$ feedback above)

#### **Example: Navigation and Control?**



## Design Principles by Benyon (III)

(adapted from Norman, Nielsen and others)

#### Safety and Security

- Recovery (→error recovery)
- Constraints (→prevent errors)
- Accommodation offer an interaction way that suits the users
  - Flexibility (→universal usability)
  - Style stylish, attractive, nice-looking
  - Conviviality polite, friendly, pleasant. No abrupt interruptions

#### Norman's Seven Principles for Transforming Difficult Tasks into Simple Ones

- Use both knowledge in the world and knowledge in the head
- Simplify the structure of tasks
- Make things visible
- Get the mappings right
- Exploit the power of constraints, both natural and artificial
- Design for error
- When all else fails, standardize

## First Principles of Interaction Design

I've used many example drawn from Apple products here, often as examples of bad interface practices

(Bruce Tognazzini, 2014)

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Expanded) 5 Mar 2014 in First Princi Testing	- ples, HCI Design, Human Comp	tion Design (Revised & uter Interaction (HCI), Principles of HCI Design, Usabili and implementation of effective interfaces, whether	-
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smart devices. However shortened the name of o more than just color blin principles, Discoverab they had ceased to be a j What has changed great	, the naming and organization me principle to extend its reach idness. I've added one new pri ility and Simplicity. I dropp problem. Problems with Discor-	volving mobile, wearables, and Internet-connected remains the same except for three changes: I have to "Color Bilindness" is now simply Color and includ nciple, Aesthetics, and brought back two old ed them from the list more than a decade ago when verability, in particular, have come roaring back. I find many new sub-principles within each category moles.	Efficiency of the User Explorable Interfaces Fitts's Law Human-Interface Objects Latency Reduction Learnability Metaphors Broteer Users' Work
Previous Version & I	ts Translations. (Google's m	achine translator for the latest edition, to your right; inciples because it is cited in many scientific papers. • Spanish • Russian • Ukrainian	
Introduction	·	:	My Interaction Design course: Build a firm foundation in interaction design with this three day course. Spring 2014 schedule: New York: March 9-11, 2014
quickly see the breadth work. Effective interface and continuously saved,	of their options, grasp how to a s do not concern the user with with full option for the user to	, instilling in their users a sense of control. Users tchieve their goals, and can settle down to do their the inner workings of the system. Work is carefully undo any activity at any time. Effective applications ring a minimum of information from users.	Atlanta: April 28-30, 2014 Chicago: May 12-14, 2014 London: June 1-3, 2014
		or mobile device, the principles do not change. If es—becomes even more important.	You may be coming in cold from engineering, graphic design, psychology, or beyond. You may already be an interaction designer wanting to "fill in the blanks," establishing a more solid theorefical
	I Love Apple, Bu	t It's Not Perfect	and practical base. You may be taking on the



https://asktog.com/atc/principles-ofinteraction-design/

<u>Aesthetics</u> **Anticipation** <u>Autonomy</u> Color Consistency **Defaults Discoverability** Efficiency of the User **Explorable Interfaces** Fitts's Law Human-Interface Objects Latency Reduction <u>Learnability</u> <u>Metaphors</u> Protect Users' Work <u>Readability</u> Simplicity State: Track it Visible Interfaces

Human Computer Interaction

management of a group of HCI designers. Ive

designed this course for each one of you

# Design Guidelines

**Shared language** to promote **consistency** among multiple designers in terminology usage, appearance, and action sequences

The "How"

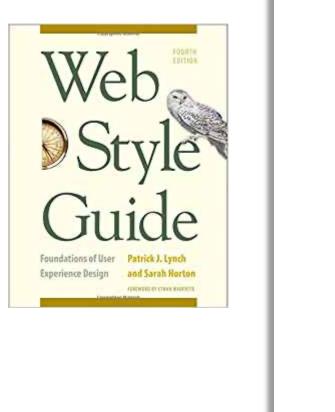
### **Design Guidelines**

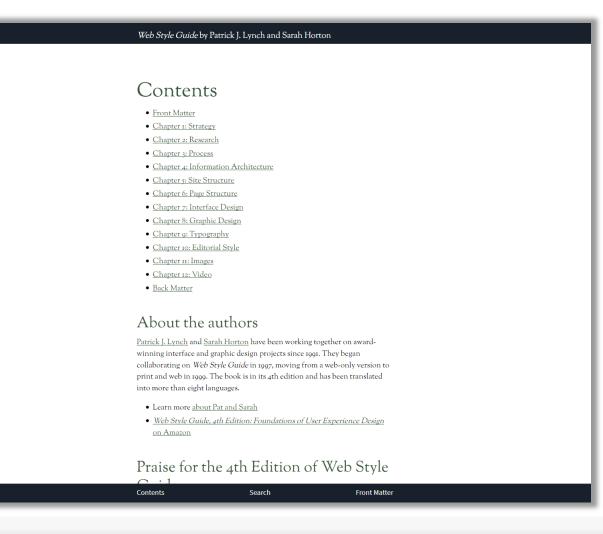
- Concrete suggestions about "How" the Principles may be satisfied
- Often rule-based
- Based on best practices
- Encapsulate experience of expert designers
- Sometimes blessed as «standards»
- But:
  - May be too specific and hard to apply to your situation
  - Difficult to develop a general-purpose guideline

#### Web Style Guide



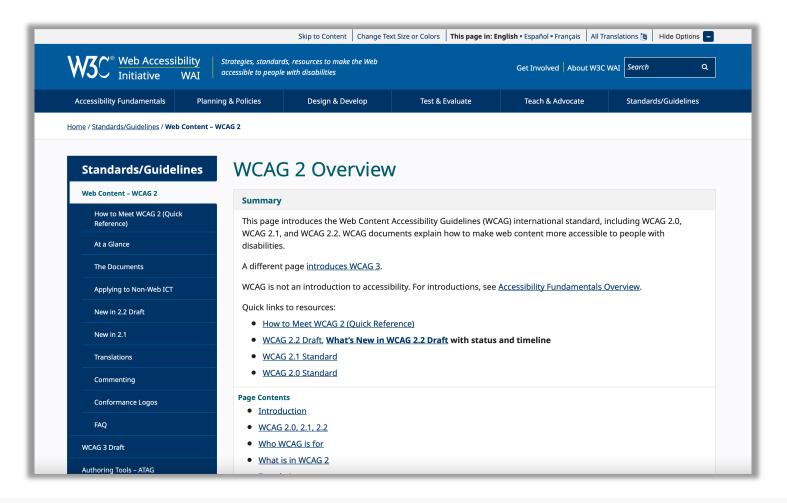
Web Style Guide, 4th Edition: Foundations of User Experience Design (2016) <u>https://webstyleguide.com/</u>







#### Web Content Accessibility Guidelines (WCAG)

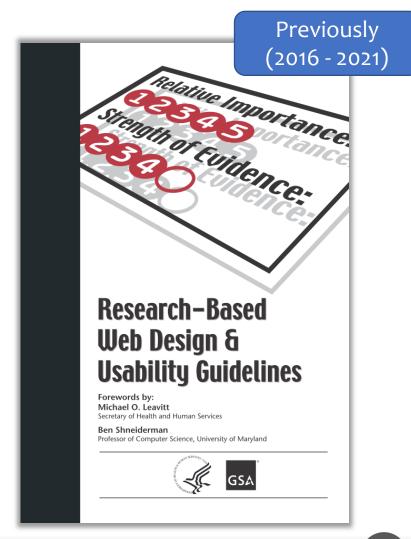


#### **U.S. Government Mobile User Experience Guidelines**

= An official website of the United States government $$ Here's how you know $$ $\!\sim$		
Digital.gov	About   Subscribe   Write for us   Contact	
	Q	
News Events Resources Communities Tools		
← All Resources		
Mobile User Experience Guidelines		
• Six user experience guidelines for creating a mobile p		
If your app doesn't have a good user experience, it goes to the app graveyard.	In this page	
The need for digital products to work better is not new in the federal	in this page	
government. Resources like the Digital Playbook and Public Participation Playbook have had impact helping agencies become user-friendly and both of		
these resources note the importance of developing usable products for mobile users.	Join a Community	
As more agencies develop mobile apps and websites, they need quick guidance	Mobile	
on mobile user experience Do's and Don'ts. To answer their call, we asked	Web Analytics and	
MobileGov Community of Practice members to choose their top Mobile UX Guidelines from the original group of 42 created in 2013 at community events in	Optimization	
late 2014 and early 2015. From that feedback, we have distilled the following six mobile user experience guidelines:	Web Managers	
Guideline 1: Make sure your content is structured and chunked appropriately for	HON MUNADO S	
multiple devices	User Experience	
Guideline 2: Follow industry user interface guidelines and government	U.S. Web Design Stratem	
 regulations (like 508) in the development of your mobile product	U.S. Web Design System	

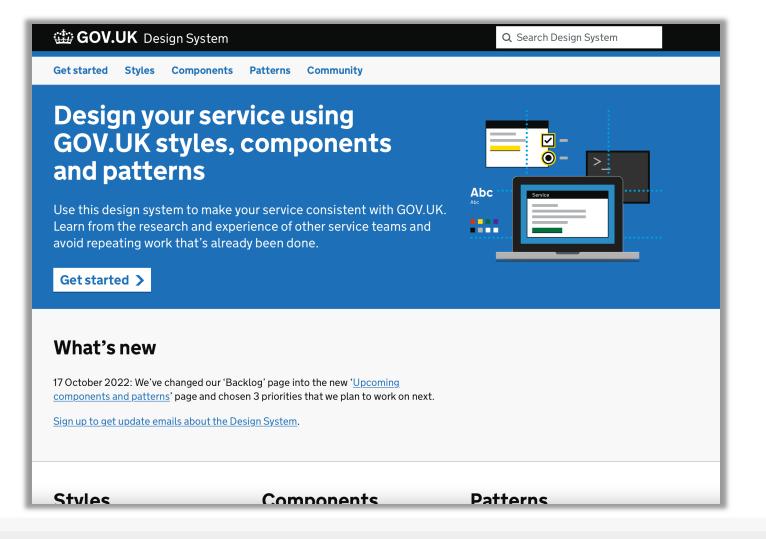


U.S. General Service Administration https://digital.gov/resources/mobile-userexperience-guidelines/



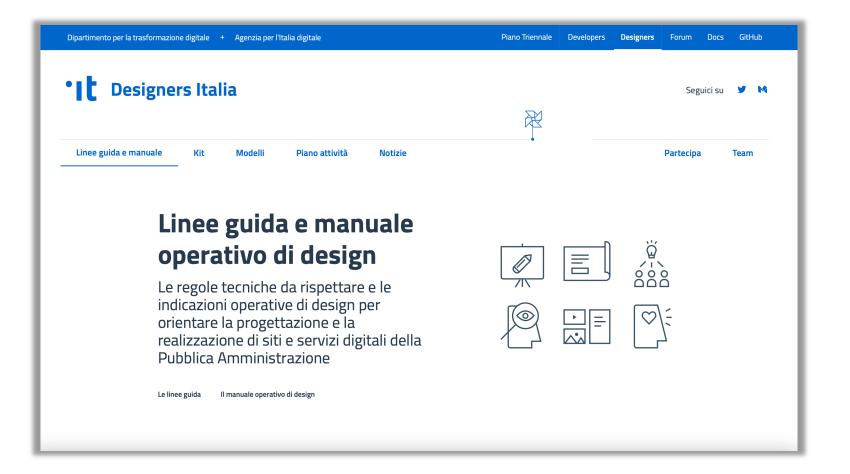


#### **U.K. Government Design System**



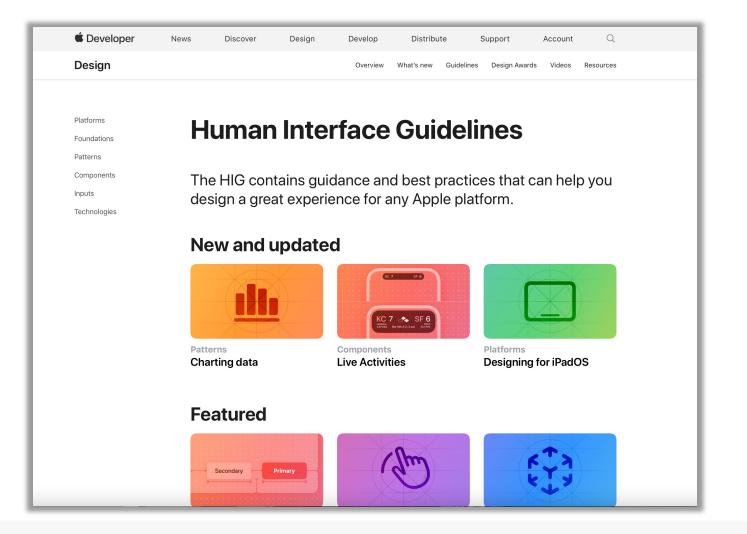


#### Italian Government Guidelines and Design System



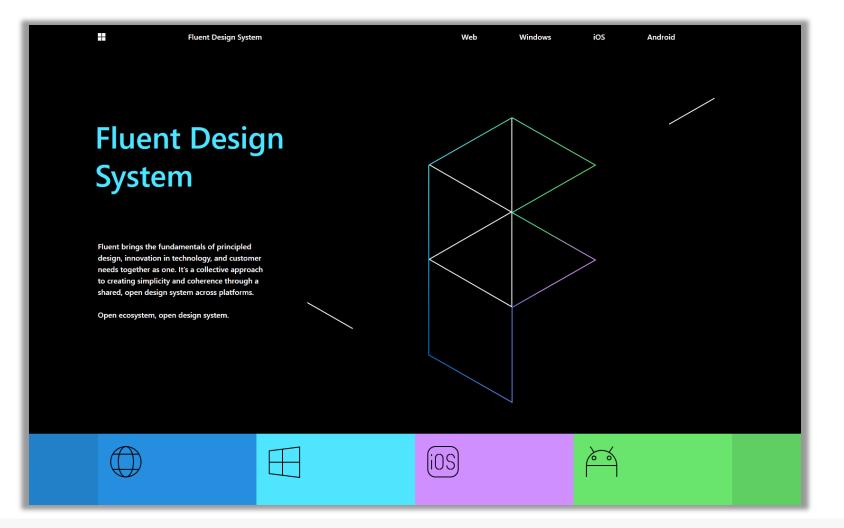


#### Apple HIG



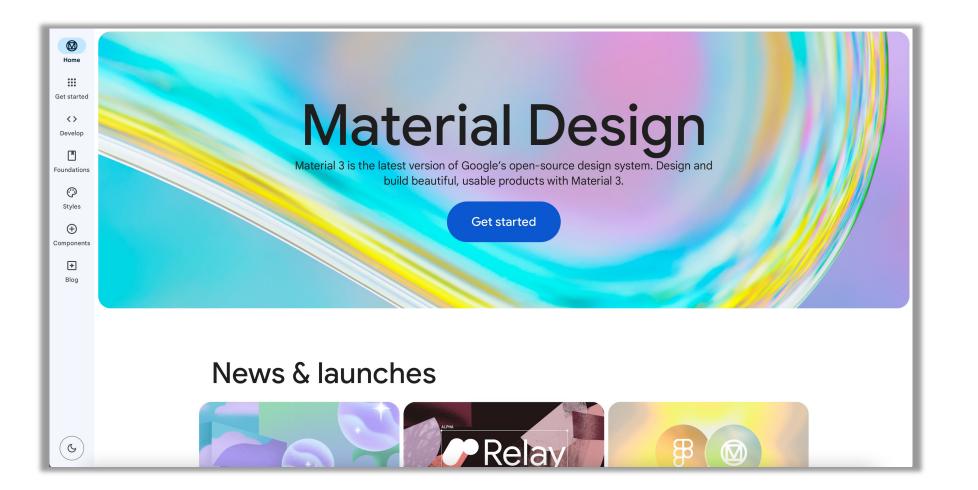


#### Microsoft «Fluent» Design



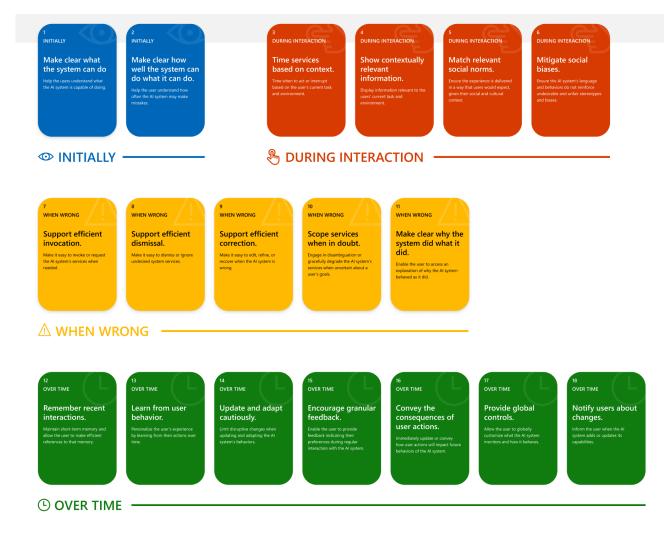


#### **Google Material Design**



#### **Guidelines for Human-AI Interaction**

- By Microsoft Research:
  - <u>https://www.microsoft</u>
     <u>.com/en-</u>
     <u>us/research/project/gui</u>
     <u>delines-for-human-ai-</u>
     <u>interaction/</u>
  - <u>https://www.microsoft</u>
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     <u>guidelines/</u>



#### **Guidelines for Human-Al Interaction: Examples**

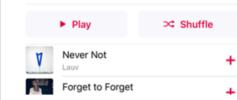
2 INITIALLY

Make clear how well the system can do what it can do.

Help the user understand how often the AI system may make mistakes.

#### EXAMPLE IN PRACTICE

Discover new music from artists we think you'll like. Refreshed every Friday.



The recommender in **Apple Music** uses language such as "we think you'll like" to communicate uncertainty.

Make clear how well the system can do what it can do.

# Support efficient

Make it easy to edit, refine, or recover when the AI system is wrong.

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**EXAMPLE IN PRACTICE** All Images Videos Maps 757,000 Results Any time -Including results for keanu reeves. Do you want results only for keanu reaves? When **Bing** automatically corrects spelling errors in search queries, it provides the option to revert to the guery as originally typed with one click. Support efficient correction. 9

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#### **Guidelines for Augmented Reality**

 By Apple Design: <u>https://developer.apple.c</u>
 <u>om/design/human-</u>
 <u>interface-</u>
 <u>guidelines/technologies/a</u>
 <u>ugmented-reality/</u>

#### Creating an engaging, comfortable experience

Let people use the entire display. Devote as much of the screen as possible to displaying the physical world and your app's virtual objects. Avoid cluttering the screen with controls and information that diminish the immersive experience.

**Strive for convincing illusions when placing realistic objects.** Design detailed 3D assets with lifelike textures to create objects that appear to inhabit the physical environment in which you place them. Using information from ARKit, you can scale objects properly and position them on detected real-world surfaces, reflect environmental lighting conditions and simulate camera grain, cast top-down diffuse object shadows on real-world surfaces, and update visuals as the camera's position changes. To help avoid breaking the illusion you create, make sure your app updates scenes 60 times per second so objects don't appear to jump or flicker.

**Consider how virtual objects with reflective surfaces show the environment.** Reflections in ARKit are approximations based on the environment captured by the camera. To help maintain the illusion that an AR experience is real, prefer small or coarse reflective surfaces that downplay the effect of these approximations.

**Use audio and haptics to enhance the immersive experience.** A sound effect or bump sensation is a great way to confirm that a virtual object has made contact with a physical surface or other virtual object. Background music can also help envelop people in the virtual world. For guidance, see Playing audio and Playing haptics.

**Minimize text in the environment.** Display only the information that people need for your app experience.

#### **References and Acknowledgments**

- Ben Shneiderman, Catherine Plaisant, Maxine S. Cohen, Steven M. Jacobs, and Niklas Elmqvist, Designing the User Interface: Strategies for Effective Human-Computer Interaction
  - Chapter 3: Guidelines, Principles, and Theories
- David Benyon: Designing Interactive Systems, Pearson, 2014
   Section 4.5: Design Principles
- COGS120/CSE170: Human-Computer Interaction Design, videos by Scott Klemmer, <u>https://www.youtube.com/playlist?list=PLLssT5z\_DsK\_nusHL\_Mjt87THSTlgrsyJ</u>
- Fitts' Law: <u>https://www.interaction-design.org/literature/topics/fitts-law</u>
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